

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

Patent Application of: DAVID INGRAM et al.

Attention: Application Branch

Serial No. 09/976,461

Date: December 31, 2001

Filed: October 12, 2001

Attorney Docket No.: BFC-11

Confirmation No.: 9972

Art Unit: 2164

Title: AUTOMATED CONSTRUCTION LOAN
ADMINISTRATION METHOD AND SYSTEM

Our Account No.: 04-1403

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.
☐ This is a Rule 47 filing per attached Petition.
☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
☐ Specification originally filed in non-English language; hence verified translation attached of:
☐ Abstract ☐ ___ pages of Specification (only spec. & claims) ☐ Drawing Figs.
☐ ___ sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11
☐ Attached is an assignment to _____.
☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).
_____, filed in _____ on _____, respectively.
Certified copy (copies) ☐ attached; ☐ already filed on _____ in U.S. Application, Serial No. _____, filed _____.
☒ Entitlement to "small entity" status under Rule 27 is hereby claimed for this application.
☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.
☒ Attached: Substitute drawings (17 sheets)
☐ Preliminary Amendment: _____

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee	\$	740.00
Total Effective Claims <u>50</u> - 20 = <u>30</u> x \$18.00 =	\$	540.00
Independent Claims <u>5</u> - 3 = <u>2</u> x \$84.00 =	\$	168.00
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$280.00	\$	
Filing Fee	\$	

Since PTO Notice under Rule 52, 53, and/or 85 set an original due date of 01/29/02,
petition is hereby made for an extension to cover the date this response is filed for which the
requisite fee is enclosed (1 month \$110; 2 months \$400; 3 months \$920; 4 months \$1440).

If this is the <u>first</u> time either signed Declaration filed or filing fee paid, add Surcharge (\$130)	\$	130.00
Subtotal	\$	1578.00
If "small entity" status box above is X'd, enter half (1/2) of subtotal & <u>subtract</u>	\$	789.00
Total =	\$	789.00
If "non-English" box above is X'd, add Rule 17(i) or (k) processing fee \$130.00)	\$	
If "assignment" box is X'd, add recording fee (\$40.00).	\$	
If "Rule 47" box above is X-d, add Petition fee \$130.00 Rule 17(h).	\$	
TOTAL FEE ENCLOSED	\$	789.00

The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application

#3

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL **for FY 2002**

JAN 29 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/976,461
Filing Date	October 12, 2001
First Named Inventor	INGRAM et al.
Examiner Name	(Unknown)
Group Art Unit	2164
Attorney Docket No.	BFC-11

COPY OF PAPERS
ORIGINALLY FILED

TOTAL AMOUNT OF PAYMENT (\$) 789.00

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 04-1403</p> <p>Deposit Account Name: Dority & Manning, Attorneys at Law, P.A.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. 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late filing fee or oath	\$65.00	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,280	241	640	Petition to revive - unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Processing fee under 37 CFR 1.17(q)		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____					SUBTOTAL (3) (\$)				65.00
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	RICHARD M. MOOSE	Registration No. (Attorney/Agent)	31, 226
Signature	<i>Richard M. Moose</i>	Telephone	864-271-1592
		Date	12/31/2001

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